



AF *Thw*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Green  
Serial No.: 09/389,939  
For: FINANCIAL INSTRUMENT FILTERING SYSTEM AND METHOD THEREFOR  
Filed: September 3, 1999  
Examiner: Michael A. Cuff  
Art Unit: 3627

Attorney Docket No.: 321.5452USU

**MAIO STOP AF  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450**

Dear Sir:

**AMENDMENT TRANSMITTAL FORM**

Transmitted herewith is a *Revised* Amendment Under Rule 116 (with Declaration of Paul Green) in response to the communication dated November 18, 2004 in the above-identified application.

Petition for extension of time pursuant to 37 C.F.R. §§ 1.136 and 1.137 is hereby made if, and to the extent, required. The fee for this extension of time is calculated to be \$\_\_\_\_\_ to extend the time for filing this response until \_\_\_\_\_.

The fee for any change in number of claims has been calculated as shown below.

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest Number Previously Paid	Present Extra	Rate	
Total Claims	20	Minus	47	0	x \$18.00	\$0
Independent Claims	4	Minus	6	0	x \$84.00	\$0
MULTIPLE DEPENDENT CLAIM FEE					x \$280.00 = \$	
TOTAL FEE FOR CLAIM CHANGES					\$0	
1/2 FILING FEE FOR SMALL ENTITY					\$0	

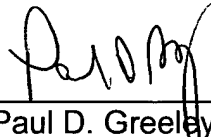
The total fee for this amendment, including claim changes and any extension of time is calculated to be \$ 0 .

\_\_\_ A check in the amount of \$ 0 (small entity) is attached.

X The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

January 18, 2004

Date



Paul D. Greeley, Esq.

Attorney for Applicant(s)

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**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AF, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON JANUARY 18, 2005.

Joanne A. Romaniello

NAME



SIGNATURE

1/18/05

DATE



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Serial No.: 09/389,939

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METHOD THEREFOR

Examiner: Cuff, Michael A.

Art Unit: 3627

Confirmation No.: 6068

Customer No.: 27623 Attorney Docket No.: 321.5452 USU

**AMENDMENT UNDER RULE 116**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In reply to the final Office Action dated November 18, 2004, please amend  
the above patent application as follows:

Amendment to the specification appears on page 2.

Remarks begin on page 3.